

**Investor Complaints & Grievances**  
**Broking and Depository**  
**Annexure-B/C**

**SPS**

**October- 2024**

SN	Received from	Carried forward From previous month	Received During the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6		7	8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges/ Depositories	0	0	0	0	0	0	0
4	Other Sources(if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2024	0	0	0	0
2	May-2024	0	0	0	0
3	Jun-2024	0	0	0	0
4	Jul-2024	0	0	0	0
5	Aug-2024	0	0	0	0
6	Sep-2024	0	0	0	0
7	Oct-2024	0	0	0	0
8	Nov-2024				
9	Dec-2024				
10	Jan-2025				
11	Feb-2025				
12	Mar-2025				
	GrandTotal				

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2020-21	0	0	0	0
2	2021-22	0	2	2	0
3	2022-23	0	0	0	0
4	2023-24	0	0	0	0
5	2024-25	0	0	0	0
	GrandTotal				

**SPS Share Brokers Private Limited**

4C VULCAN INSURANCE BUILDING 4<sup>TH</sup> FLOOR NEXT TO GAYLORD RESTAURANT CHURCHGATE MUMBAI –400020  
 SEBI REGN NO BROKING: INZ000206136 SEBI REGN NO CDSL DP: IN-DP-80-2015 CIN: U67190MH1997PTC109343

Tel No:022-62746274 E-Mail:investors@spssharebrokers.com Web Site: www.sps.group